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7590

09/07/2004

John B Hardaway III
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Jacqueline Beavers

(Depositor's name)

(Signature)

6 December 2004

(Date)

12/08/2004 SDENBOB2 00000069 080719 09937817

01 FC:1501 1370.00 DA
 02 FC:8001 30.00 DA

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/937,817	11/29/2001	Hans-Matthias Horn	25045-11	5465

TITLE OF INVENTION: OPTICAL WAVE-GUIDE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$0	\$1330	12/07/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
CURTIS, CRAIG	2872	385-128000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

J. Herbert O'Toole

1 Nexsen Pruet, LLC

2

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

EMS-CHEMIE AG, Switzerland

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
☐ Publication Fee (No small entity discount permitted)
☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☒ The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 08-0719 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

J. Herbert O'Toole

Date 6 December 2004

Typed or printed name

Registration No. 31,404

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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J. Herbert O'Toole
Of Counsel
Also Admitted in MD

December 6, 2004

Commissioner of Patents
Mail Stop Issue Fee
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Applicant: Hans-Matthias Horn, et al.
 Serial No.: 09/937,817
 Filing Date: 11/29/2001
 Entitled: OPTICAL WAVE-GUIDE
 Confirmation No.: 5465
 Customer No. 000046591
 Our Docket No.: 25045/011

Dear Sir:

Pursuant to the Notice of Allowance for a small entity, dated September 7, 2004, enclosed please find PTOL form 85 with Certificate of Mailing.

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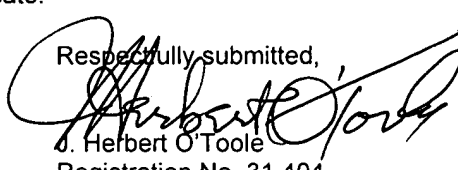
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The Commissioner is authorized to charge the Issue fee of \$1,340.00 and \$30.00 for advance patent copies, as well as any deficiency or credit any overpayment, to Deposit Account Number 08-0719. This document is submitted in duplicate.

Respectfully submitted,


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